IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicants:

YANG et al.

Docket No.:

18239-117D

Serial No.:

Not yet assigned

Group Art Unit:

Not yet assigned

Filed:

June 24, 2003

Examiner:

Not yet assigned

For:

INTEGRATED OPTICAL COMPONENTS FABRICATED USING

ULTRAVIOLET LASER TECHNIQUES

Mail Stop New Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith the references listed on the attached form PTO-1449 of which Applicant is aware and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Dated: June 27, 2003

Reg. No. 40,920

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CERTIFICATE OF MAILING (37 CFR 1.10(a))

ertify that this correspondence is being deposited with the 1, 10 as Express Mail No. EL946241603US addressed to CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: Lhereby certify that this U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFF Mail Stop New Patent Application, Commissioner for Patents, P.O. Box 223/13-1450, on June 27, 2003 by Yolette Yturralde-Owen.

Date: June 27, 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. SERIAL NO. 18239-117D Not yet assigned		
INFORMATION DISCLOSURE	APPLICANT YANG et al.		
STATEMENT BY APPLICANT	FILING DATE June 24, 2003	GROUP Not yet assigned	

	U.S. PATENT DOCUMENTS							
EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE If appropriate	
	AA	4,162,123	07/24/79	Isono	354	25	11/29/77	
	AB	4,184,968	01/22/80	Stauffer	250	201	02/13/78	
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OTHER	DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
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AF	G.J.M. Krijnen, H.J.W.M. Hockstra, P.B. Lambeck & T.J.M.A. Popma, "SimpleAnalytical Description of Performance of Y-Junctions," 22 October 1992, pp. 2072-2074, <i>Electronic Letters</i> , Vol. 28, No. 22
AG	M.K. Smit, "New Focusing and Dispersive Planar Component Based on an Optical Phased Array," 31 March 1988, pp. 385-386, <i>Electronic Letters</i> , Vol. 24, No. 7
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AL	K. Okamoto, K. Syuto, H. Takahashi & Y. Ohmori, "Fabrication of 128-Channel Arrayed-Wavelength Grating Multiplexer with 25 GHz Channel Spacing," 1 August 1996, pp. 1474-1476, Vol. 32, No. 16

EXAMINER	DATE CONSIDERED	
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	whether or not citation is in conformance with MPEP 609; draw 1	ine through citation if